



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/035,158	01/04/2002	Jan Weber	12013/59401

CONFIRMATION NO. 1766

## FORMALITIES LETTER



\*OC00000007431387\*

23838  
KENYON & KENYON  
1500 K STREET, N.W., SUITE 700  
WASHINGTON, DC 20005

Date Mailed: 02/06/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*Tram Chau*

03/28/2002 HBERHE 00000047 110600 10035158  
01 FC:105 130.00 CH

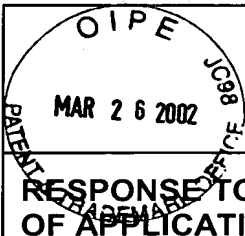
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION -- FILING DATE GRANTED**

Docket Number:  
12013/59401

Application Number  
10/035,158

Filing Date  
January 4, 2002

Examiner  
Unassigned

Art Unit  
3762

Invention Title  
NON-COMPLIANT BALLOON WITH COMPLIANT TOP-  
LAYER TO PROTECT COATED STENTS DURING  
EXPANSION

Inventor(s)  
Jan Weber and  
Timothy O'Connor

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231  
Box Missing Part

To complete the filing requirements for the above-referenced application under 37 C.F.R. § 1.51, enclosed please find a signed Declaration, Grant of Power of Attorney, Certificate Under 37 C.F.R. § 3.73(b), Assignment with PTO Form 1595 and Submission of Formal Drawings. A copy of the Notice to File Missing Parts of Application mailed February 6, 2002 is enclosed.

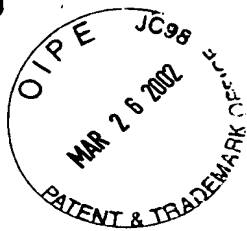
1. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.16(e) surcharge fee of **\$130.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**. The Commissioner is also authorized to charge any additional fees or credit any overpayment in connection with this paper to Deposit Account No. 11-0600.
2. A duplicate of this sheet is enclosed.

Dated: *March 26, 2002*

By: *Mark H. Neblett*

Mark H. Neblett (Reg. No. 42,028)

KENYON & KENYON  
1500 K Street, N.W.  
Suite 700  
Washington, D.C. 20005  
(202) 220-4200 (telephone)  
(202) 220-4201 (facsimile)



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01-024  
12013/59401

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: Jan Weber and Timothy O'Connor  
Serial No.: To Be Assigned  
Filing Date: Herewith  
For: NON-COMPLIANT BALLOON  
WITH COMPLIANT TOP-LAYER  
TO PROTECT COATED STENTS  
DURING EXPANSION

Group Art Unit: Unknown

Examiner: Unknown

**Honorable Commissioner of Patents and Trademarks**  
Washington, DC 20231

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

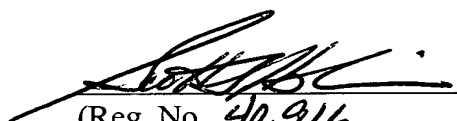
**SCIMED Life Systems, Inc.**, a corporation, certifies that it is the assignee of the entire right, title, and interest in the United States patent application identified above by virtue of an assignment from Act Medical. The assignment is being submitted to the United States Patent and Trademark Office for recordation, and a copy of the assignment and the recordation cover sheet are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

24 JAN 2002  
Date

  
(Reg. No. 40,916)  
Patent Counsel

on behalf of:  
SCIMED LIFE SYS, INC.